PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 06\$\text{O032}\$

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Title

UTILITY PATENT APPLICATION **TRANSMITTAL**

Attomey Docket No. 12-020-CON

First Inventor or Application Identifier

COMPOSITE DRIVE SYSTEM FOR COMPRESS

IWANAMI et al.

(Only for new nonprovisional applications under 37 C.F.R.§ 1.53(b))

Express Mail Label No.

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Commissioner for Patents ADDRESS TO: Mail Stop Patent Application Arlington, VA 22202			
1. X * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. X Specification [Total Pages 44] -Descriptive title of the Invention -Cross Reference to Related Applications -Background of the Invention -Summary of the Invention -Brief Description of the Drawings -Detailed Description of the Preferred Embodiment -Claims -Abstract of the Disclosure	5. Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 7. Assignment Papers (cover sheet & document(s)) 8. 37 C.F.R.§ 3.73(b) X Power of Attorney (when there is an assignee) 9. English Translation Document (if applicable)			
3. X Drawing(s) (35 U.S.C. 113) [Total Sheets 17] 4. Oath or Declaration [Total Sheets 4] a. Newly executed (original or copy) b. X Copy from a prior application (37 C.F.R. § 1.63 (d)) (for continuation/divisional with Box 16 completed i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITIZED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28) 16. If a CONTINUING APPLICATION, check appropriate box, and supplication	10. X Information Disclosure Statement (IDS)/PTO-1449 X Copies of IDS Citations 11. X Preliminary Amendment 12. X Return Receipt Postcard (MPEP 503) (should be specifically itemized) *Small Entity Statement(s) Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired 14. Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. Other:			
For CONTINUATION or DIVISIONAL APPS only: The entire disclosu	re of the prior application, from which an oath or declaration is supplied continuation or divisional application and is hereby incorporated by reference.			
	400 or □ Correspondence address below Attach bar code label here)			
Name				
Address -				
City State	Zip Code			
Country Telephone	(703) 707-9110 Fax (703) 707-9112			
Name (Print/type) DAVID G. POSZ	Registration No. (Attorney/Agent) 37,701			
Signature	Date October 23, 2003			

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PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
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FEE	TR	AN	SMI	TT	AL
1	for	FY	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 770

Complete if Known					
Application Number					
Filing Date	October 23, 2003				
First Named Inventor	IWANAMI et al.				
Examiner Name					
Art Unit					
Attorney Docket No.	12-020-CON				

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
X Check Credit card Money Other None	Larg	ADDITIO e Entity	Small	Entity		
Deposit Account	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account 50-1147	1051	130	2051	65	Surcharge - late filing fee or oath	4
Deposit Account Name POSZ & BETHARDS, PLC	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
X Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee <u>Fee Description</u> Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 770	1255	2,010	2255	1005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
•	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 770	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSU	E 1501	1,330	2501	665	Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Pa	id 1502	480	2502	240	Design issue fee	
Total Claims 8 -20**= 0 x 18 = 0	1503	640	2503	320	Plant issue fee	
Independent Claims 1 - 3**= 0 X 86 = 0	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1201 86 2201 43 Independent claims in excess of	3 1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not pa	id 1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 "Reissue claims in excess of 2 and over original patent).		•		• • • • • • • • • • • • • • • • • • • •	·
SUBTOTAL (2) (\$) 0	SUBTOTAL (2) (\$) 0 Other fee (specify)					
•• or number previously paid, if greater, For Reissues, see above	*Redu	ced by Bas	sic Filing	Fee Paid	SUBTOTAL (3) (\$) 0	
Complete If conficable						

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701	Telephone	(703) 707-9110
Signature	70007	7		Date	OCT. 23,2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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